

AI ALIGNMENT AWARDS CONTEST OFFICIAL RULES
("Official Rules")

NO PURCHASE OR PAYMENT OF ANY KIND IS NECESSARY TO ENTER OR WIN THIS CONTEST.
A PURCHASE OR PAYMENT WILL NOT IMPROVE YOUR CHANCES OF WINNING.
VOID WHERE PROHIBITED BY LAW.

THESE OFFICIAL RULES CONTAIN A RELEASE OF LIABILITY IN SECTION 13, VARIOUS LIMITATIONS AND EXCLUSIONS OF LIABILITY IN SECTION 14, AND AN ARBITRATION CLAUSE AND CLASS ACTION WAIVER IN SECTION 18.

BY AGREEING TO THE OFFICIAL RULES, YOU AGREE TO RESOLVE ALL DISPUTES THROUGH BINDING INDIVIDUAL ARBITRATION, WHICH MEANS THAT YOU WAIVE ANY RIGHT TO HAVE THOSE DISPUTES DECIDED BY A JUDGE OR JURY, AND THAT YOU WAIVE YOUR RIGHT TO PARTICIPATE IN CLASS ACTIONS, CLASS ARBITRATIONS, OR REPRESENTATIVE ACTIONS.

1. DESCRIPTION: AI ALIGNMENT AWARDS CONTEST (the “**Contest**”) is promoted, sponsored, and administered by AI Alignment Awards, a project of Players Philanthropy Fund (“**Sponsor**”). Participants may enter the Contest beginning at 12:00 AM Eastern Time (ET) on November 22, 2022 and must enter by 11:59 PM ET on May 1, 2023 (the “**Contest Period**”). The Contest is void where prohibited or restricted by law, is subject to applicable federal, state, provincial, territorial and local statutes, rules, codes of practice, regulations, guidance from regulatory bodies and other laws (each a “**Law**” and collectively the “**Laws**”), and is governed by these Official Rules.

2. ACCEPTANCE OF THE OFFICIAL RULES; SITE POLICIES; LAWS: Each individual who enters the Contest, whether individually or as part of an entering team (a “**Team**”) is referred to in these Official Rules as an “**entrant**”. By entering the Contest, each entrant accepts and agrees to comply with and abide by these Official Rules and by the decisions of Sponsor, which shall be final and binding on all matters pertaining to the Contest. Participation in the Contest is also governed by the terms and policies pertaining to the Privacy Policy (the “**Privacy Policy**”), which can be found on the Contest website: <https://www.alignmentawards.com/> (“**Contest Site**”). In the event there is an inconsistency between the Privacy Policy or any Contest promotional materials and the terms and conditions of these Official Rules, these Official Rules shall prevail and govern with respect to any matter pertaining to the Contest. By entering the Contest, each entrant also agrees to comply with any and all applicable Laws in connection with his or her participation in the Contest. Entry in the Contest does not constitute entry into any other promotion, sweepstakes, or contest.

3. ELIGIBILITY:

- A. The Contest is open to any natural person who meets all of the following eligibility requirements:
 - i. The entrant is a legal resident of the fifty (50) United States or the District of Columbia (but not Puerto Rico), Canada (excluding the province of Quebec), or the

United Kingdom, or any other jurisdiction where participation is permitted by Law (see Section 3(B) below for details).

ii. The entrant is at least thirteen (13) years old at the time of entry.

iii. The entrant has access to the internet.

B. Individuals residing in, or nationals of, countries or jurisdictions not explicitly mentioned in 3(A)(i) above may enter the Contest so long as the Contest is not prohibited by Law in such country or jurisdiction, however, their eligibility to win cannot be guaranteed under local Laws. If an entrant is not eligible for a prize pursuant to local Laws, no prize will be awarded to that entrant.

C. Additionally, an individual who meets any of the following criteria are NOT eligible to enter the Contest:

i. The individual is an officer, director, or employee, agent or representative of any of the Contest Entities, or the immediate family member, regardless of where they reside, and/or household members of such persons. **"Contest Entities"** means Sponsor, Prize Provider (as defined below), and each of their respective parent companies, subsidiaries, and affiliates, as well as any other prize providers, promotional partners, advertising/marketing agencies, and any other company or organization involved in the design, promotion, sponsorship, or execution of the Contest. **"Immediate family members"** shall mean a person's spouse and a person's and their spouse's parents, stepparents, legal guardians, children, stepchildren, siblings, and stepsiblings, and each of their respective spouses. **"Household members"** shall mean those people who share the same residence, whether or not related.

ii. The individual is (a) named on the List of Specially Designated Nationals (www.treasury.gov/sdn) or named on any other U.S. Department of the Treasury Office of Foreign Assets Control (OFAC) Sanctions List, or directly or indirectly owned or controlled by any such person; and (b) a resident of or located in, or a national of, any country or territory against which the United States of America maintains comprehensive sanctions (such as, Cuba, Iran, Syria, North Korea and the Crimea Region of the Ukraine) or any other country or territory that is otherwise prohibited by Law, treaty or administrative act from entering into trade relations with the United States of America or its citizens, or directly or indirectly owned or controlled by any governmental entity of any of the foregoing countries or territories.

4. HOW TO ENTER: No Purchase Necessary. Entrants may enter the Contest individually or as part of a Team. Each Team may consist of up to four (4) members. To enter the Contest, each individual entrant or Team must complete and submit the **"Contest Entry Form"** found on the Contest Site, including completion of all of the elements described in this Section (each, an **"Entry"**) prior to the end of the Contest Period. A complete Entry will include, in addition to all other materials and information requested in the Contest Entry Form:

- A. Each entrant's full name, email address, and state/province/territory and country of the primary residence of the entrant (Team entries must include the foregoing information for each member of the Team and a primary contact email for all Contest-related communications);
- B. Each entrant's age at time of submission; and
- C. A written proposal in response to one of the two prompts posted on the Contest Site regarding AI alignment. Proposals must be written in English and no more than 500 words in length (excluding title, endnotes, footnotes and/or citations). Entrants will submit their proposal through the Contest Entry Form on the Contest Site. Proposals must be submitted in a .pdf format.

In addition to the written proposal, entrants may choose to submit as part of an Entry documentation or information supporting the proposal, including research papers, experimentation results, code, math, images, or a conceptual elaboration on the proposal. Entrants also may be asked to provide a link to their LinkedIn profile, a copy of their resume/CV, and demographic information, such as educational achievement, gender identity, and racial identity, but that information is voluntary and is not required to be provided in order to enter or win the Contest.

5. **ADDITIONAL ENTRY REQUIREMENTS; REPRESENTATIONS BY ENTRANTS:**

- A. All Entries must be received by the end of the Contest Period. Entries will not be acknowledged or returned. Proof of transmission of an Entry does not constitute proof that it was received. Incomplete, unreadable, late, or unintelligible Entries are void and will be disqualified.
- B. In the event of a dispute as to the identity or eligibility of an entrant, the entrant will be deemed to be the "Authorized Account Holder" of the email address listed in the Entry, provided he/she is eligible according to these Official Rules. The "**Authorized Account Holder**" is defined as the natural person to whom the applicable service provider or other organization (such as a business or educational institution) has assigned the email address. An entrant may not use multiple email accounts to enter, and if an entrant does so, all of such entrant's Entries may be disqualified.
- C. Each Entry (including the Contest Entry Form and all other writings, works of authorship, data, information, content, and other materials included in the Entry) must comply with the following:
 - i. It must be entirely the original work of the individual entrant or Team.
 - ii. The proposal in the Entry must respond to the applicable proposal prompt. Proposals that do not respond to the applicable proposal prompt may not be judged.
 - iii. It must not infringe, misappropriate, or violate any intellectual property rights, privacy rights, publicity rights, or other proprietary rights of any third party.
 - iv. All information provided in the Entry must be true, accurate, and correct in all respects.

- v. It must not be created or submitted through any script, macro, or other automatic method.
- vi. It must comply with these Official Rules and all applicable Laws.
- vii. It must be suitable for publication to a general audience and must not contain anything that:
 - (1) is illegal, abusive, tortious, defamatory, pornographic, sexually explicit, obscene, libelous, invasive of another's privacy, hateful, or otherwise objectionable;
 - (2) promotes discrimination based on race, ethnicity, nationality, religion, sex, sexual orientation, disability, or age;
 - (3) promotes violence or unsafe or illegal activity;
 - (4) is threatening, harassing, or degrading;
 - (5) serves to impersonate any person or entity or misrepresent affiliation with any person or entity; or
 - (6) disparages or injures the reputation or goodwill of Sponsor, Prize Provider, or any of their respective officers, directors, employees, products, or services.
- D. Entries that do not comply with these requirements (as determined by Sponsor in its sole discretion) are void and will be disqualified. Entrants may be required to submit proof of compliance with these requirements, and failure to provide such proof on request may, in Sponsor's sole discretion, disqualify an entrant's Entry.
- E. Neither submission of an Entry nor any other participation in the Contest creates any confidential, fiduciary, agency, employment, or other relationship or contract between Sponsor, or Prize Provider and any entrant, and by submitting an Entry each entrant acknowledges that no such relationship or contract exists.
- F. By entering the Contest, each entrant represents and warrants to Sponsor that:
 - i. The entrant's Entry meets all of the requirements in this Section 5;
 - ii. The entrant meets all the eligibility requirements for the Contest; and
 - iii. The entrant has complied with these Official Rules and all applicable Laws in entering and participating in the Contest.

6. JUDGING PROCESS AND WINNER DETERMINATION:

- A. Winners: There will be two types of winners for this Contest. All Entries proceeding from Round 1 to Round 2 as described below will win a Round 2 Prize as described below, subject to the Round 2 Prize Cap described below. Round 2 Prizes will be distributed on a rolling basis as Entries are judged, until the Round 2 Cap has been reached. Sponsor will post notice on the Contest Site if and when the Round 2 Cap has been reached. Additionally, at least six (6) Entries from Round 2 will be selected as "Final Prize" winners

and will receive a Final Prize as described below. Each entrant submitting an Entry that receives a Round 2 Prize or a Final Prize as described in this paragraph is a **“Winner.”**

- B. **Judging Period:** The Round 2 Prize Winners will be selected on a rolling basis between the start of the Contest Period and approximately May 1, 2023. The Final Prize Winners will be selected on or around June 1, 2023 (the **“Judging Period”**).
- C. **Judges:** Eligible Entries will be judged by a group of judges consisting of researchers who are familiar with AI alignment, including undergraduate and graduate students familiar with AI alignment, individuals with doctorates in the study of AI alignment, and directors of AI alignment organizations (the **“Judges”**).
- D. **Judging Process:** There will be two (2) rounds of judging:
 - (1) **Round 1:** Approximately 10 Judges who are undergraduate and graduate students will judge all submitted proposals by blind grading in accordance with the criteria set forth in subsection 6.D.i below. All Entries that receive an overall score of 85 and above will advance to Round 2.
 - (2) **Round 2:** Out of the Entries advancing from Round 1, approximately 5 Judges who are senior researchers and directors of AI alignment organizations will judge all submitted proposals by blind grading in accordance with the criteria set forth in subsection 6.D.i below. The Judges will select at least three (3) Entries for each proposal prompt as Final Prize Winners, for a total of at least six (6) Final Prize Winners.
- i. The Judges will make their decisions using the criteria (the **“Criteria”**) described below:
 - (A) Demonstrated understanding of one or more core problems pertinent to the proposal prompt **(25%)**;
 - (B) Ability to articulate how the entrant’s proposal addresses one or more of the identified core problems *or* otherwise advances the science of AI alignment **(50%)**; and
 - (C) Ability to articulate significant limitations of the proposal may be (if any) **(25%)**.
- ii. The Judges will award point values from 0-100 (with 100 being the highest score) for each of the above Criteria. Each of the Criteria will be weighted equally. The eligible Entries with the highest total scores for each proposal prompt will be selected for the Final Prizes. Potential Winners must meet the requirements of Section 9 below to officially qualify as the Winners. In the event of a tie between eligible Entries, Sponsor has the sole discretion to determine which eligible Entry will be a potential Winner.

7. CHANCES OF WINNING: The chances of winning a prize depend on the number of eligible Entries received during the Contest Period and the quality of each Entry as evaluated on the basis

of the judging criteria and process described in these Official Rules. Sponsor cannot predict or guarantee any specific number of eligible Entries for this Contest.

8. PRIZES:

Each Entry that advances to Round 2 will receive a **“Round 2 Prize”** consisting of cash in the amount of at least One Thousand Dollars (\$1,000.00) until a maximum of Two Hundred and Twenty Thousand Dollars (\$220,000.00) has been awarded (the **“Round 2 Cap”**). Whether or not the Round 2 Cap has been reached depends on the number of eligible Entries received that proceed to Round 2. For clarity, the Round 2 Cap applies to all Entries submitted for either essay prompt.

At least three (3) Entries for each proposal prompt with the highest total scores from Round 2 will receive a **“Final Prize”** consisting of cash in the amount of at least Five Thousand Dollars (\$5,000.00) each.

In the event that a winning Entry is submitted by a Team, the Round 2 Prize or the Final Prize, as applicable, will be split equally among the Team members.

All prizes are awarded in U.S. Dollars (USD) and all sums herein refer to USD amounts. Sponsor may choose to increase the amount of the Round 2 Prize or the Final Prize or the number of prizes available in its sole discretion and such change will be announced on the Contest Site. The maximum possible value of all of the prizes to be awarded in the Contest is Two Hundred and Fifty Thousand Dollars (\$250,000.00).

In the Winner’s response to the Prize Notification (defined below), the Winner of any monetary prize may express a preference, and Sponsor shall use reasonable efforts to accommodate such preference, for the prize to be provided in one of the following forms:

- i. Sponsor will transfer the amount of the applicable cash prize to a bank account provided by the Winner;
- ii. Sponsor will send a cheque in the amount of the applicable cash prize to the Winner at an address provided by the Winner; or
- iii. Sponsor will send the Winner a prepaid debit card with the prize money pre-loaded onto the card, provided that if the Winner is a minor in his or her jurisdiction of residence at the time of entry, the prepaid debit card must not be used to purchase alcohol, drugs, or any other items that may not be purchased by a minor in such jurisdiction under applicable Laws. Sponsor retains the right to monitor and review the transaction history of such debit card.

Debit cards may only be used at participating businesses. Each entrant acknowledges that acceptance and use of any debit card prize are subject to all eligibility criteria, expiration dates, service or dormancy fees, use restrictions, and all other terms and conditions (if any) imposed by the issuer or provider of any such prize. Neither Sponsor nor Prize Provider, nor any of their respective parents, subsidiaries, or affiliates, is the issuer or provider of any such debit card prize,

and none of them is responsible for establishing those terms and conditions, for disclosing or explaining any of those terms and conditions to the entrants, or for any Winner's failure to comply with any of them. If a Winner does not comply with all of those terms and conditions, or if a Winner does not have access to a participating location, the Winner may not be able to obtain the maximum value, or any value, from his or her prize.

9. GENERAL PRIZE CONDITIONS: All details and other restrictions of the prize(s) not specified in these Official Rules will be determined by Sponsor in its sole discretion. The awarding of prizes is not intended to, and shall not be deemed to, create any employment or similar contract or relationship between Sponsor or Prize Provider and any Winner. No prize is exchangeable, assignable, or otherwise transferable, except at Sponsor's sole and absolute discretion. Sponsor reserves the right to substitute for any prize one or more items or services of equal or greater value in its sole discretion. The value of each prize may be taxable to each Winner as income. For US residents: An IRS Form 1099 will be issued by Sponsor in the name of each Winner for the value of the prize(s) received. Each Winner shall be solely responsible for all federal, state, provincial, territorial and/or local taxes (including income, VAT, customs duties, and withholding taxes), and the reporting consequences thereof, for any other fees or costs associated with the applicable prize(s), and for any other liabilities incurred in connection with any prize.

10. WINNER NOTIFICATION AND PRIZE CLAIM: During the Judging Period (with respect to Round 2 Prizes), or within five (5) working days of the conclusion of the Judging Period (with respect to Final Prizes), each potential Winner will receive a notification email from Sponsor (a "**Prize Notification**") sent to the email address indicated by each potential Winner in their applicable winning Entry. Each potential Winner will have ten (10) working days to respond in writing (including by email) to the Prize Notification once it has been sent to the potential Winner, including providing any requisite information to accept their prizes. Sponsor and Prize Provider shall have no liability for any Prize Notification that is lost, intercepted, or not received by a potential Winner for any reason. In order to claim a prize, each potential Winner (or their legal guardian, if the potential Winner is under the age of majority in their jurisdiction of residency) may be required to verify for Sponsor his or her permanent address and social security or taxpayer identification number, and complete any requested tax reporting forms, for purposes of compliance with tax regulations, including by completing an IRS Form W9 or W8-BEN for U.S. residents. Upon Sponsor's request, each potential Winner (or their legal guardian, if the potential Winner is under the age of majority in their jurisdiction of residency), including every member of a potentially winning Team, must also execute and return, where legally permissible, a declaration of eligibility, a declaration of compliance with these Official Rules, a liability release, and/or a publicity release. If a potential Winner is found to be ineligible, has not complied with these Official Rules, or declines the prize(s) for any reason prior to being awarded, such potential Winner will be disqualified and forfeit his or her prize, and Sponsor may allocate the prize to an alternate potential Winner from the pool of remaining entrants based on the Judging Criteria described in these Official Rules. Sponsor may successively attempt to contact up to five (5) potential Winners in accordance with such procedure, and if there is still no confirmed Winner of a prize after such attempts have been made, Sponsor may announce an alternate means for awarding that prize, which may include donating the prize to a charity of Sponsor's choosing. The

distribution of the prize(s) will be at the sole determination of Sponsor to the individuals or Teams deemed to have won the Contest by Sponsor in accordance with these Official Rules.

11. USE OF PERSONAL DATA: All personal information collected by or on behalf of Sponsor (including without limitation, an entrant's name, biographical data, and city and state/province of residence) may be disclosed to the Contest Entities and used by Sponsor or any of the other Contest Entities for the administration of the Contest and, except as stated in these Official Rules, in accordance with Sponsor's Privacy Policy. Sponsor also may disclose entrants' personal information to other Contest Entities or other third party Effective Altruism organizations for use in connection with furthering their respective missions and in accordance with Sponsor's Privacy Policy, unless an entrant opts out in the manner provided by Sponsor as part of the Contest Entry process. Please refer to the Privacy Policy for important information regarding the collection, use, and disclosure of personal information by Sponsor. In addition, except to the extent prohibited by Law, each Winner (including every member of a winning Team) grants to Sponsor and each of its respective designees the right to publicize such Winner's name and address (city and state/province of residence), photo (if provided), and prize information in connection with the Contest, in any media now known or hereafter devised, throughout the world, in perpetuity, without additional compensation or consideration, notification or permission. If an entrant objects to the publication or making available of their name, address (city and state/province of residence), photo (if provided), and prize information, the entrant must contact Sponsor at info@alignmentawards.com. In such circumstances, Sponsor may still provide the information and winning Entry to third parties (e.g., regulators) where required by applicable Law, such as for tax reporting purposes or to show that a valid award took place.

12. LICENSE GRANT: Unless an entrant opts out of this provision through the opt-out mechanism provided by Sponsor at the time of submission of an Entry, by submitting an Entry, each entrant irrevocably grants Sponsor and its designees the unconditional, irrevocable, and perpetual right and permission, royalty-free, to use the Entry and any other writing, work of authorship, data, ideas, information, images, content, or materials included in such Entry, in any media now known or hereafter devised, throughout the world for purposes of advertising and promoting the Contest and related activities, as well as for any and all other uses in connection with furthering the mission of Sponsor. Sponsor is not obligated to use any of the above-mentioned writing, works of authorship, data, ideas, information, images, content, or materials, but if Sponsor does so, Sponsor may edit them in Sponsor's sole discretion, and all such uses will be without further obligation or compensation. Each entrant waives all intellectual property rights, privacy/publicity rights or other legal or moral rights that might preclude the Sponsor's use of the Entry or exercise of any rights granted to Sponsor as stated in this paragraph, and each entrant agrees not to sue or assert any claim against the Contest Entities with respect to any such use.

13. RELEASE OF LIABILITY: By entering the Contest, each entrant (a) agrees that neither the Contest Entities, nor any advertising or social media platform, such as Facebook or Twitter, on which the Contest is advertised or through which it is accessed, shall be responsible for, and (b) to the maximum extent permitted by Law, releases and holds harmless the Contest Entities and each such advertising or social media platform from and against, any and all claims, injuries, damages, liabilities, costs, expenses, or losses of any kind (including, but not limited to, personal

injury, death, or damage to or loss of property) that may occur, directly or indirectly, in whole or in part, from:

- i. participation in the Contest;
- ii. any breach or other violation by such entrant of these Official Rules, the Privacy Policy, or applicable Law;
- iii. the acceptance, receipt, possession and/or use or misuse of any prize (or any portion of any prize);
- iv. any incorrect, incomplete, garbled, or inaccurate information, or any failure to capture any information, whether caused by an entrant, printing errors, human error, or by any of the equipment or programming associated with or utilized in the Contest;
- v. any damage to an entrant's equipment (such as a computer system or mobile device) which is occasioned by accessing the Contest Site or participating in the Contest;
- vi. miscommunications, or any failed, jumbled, delayed, or misdirected computer, telephone, cable, or other transmissions (including, without limitation, transmissions by email, social media (such as a private or direct messages, check-ins, tweets, and posts), and instant message);
- vii. for any technical malfunctions, failures, delays, difficulties, or other errors of any kind or nature;
- viii. any Entry, email, or other material or correspondence that has been tampered with or that is stolen, misdirected, incomplete, lost, late, non-conforming, ineligible, or damaged;
- ix. any use of an entrant's personal information and Entries as permitted under these Official Rules; or
- x. any other events beyond Sponsor's reasonable control.

The foregoing release does not include claims, injuries, damages, liabilities, costs, expenses, or losses related to personal injury, death, or damage to or loss of property of an entrant to the extent that such claims or losses occur, directly or indirectly, in whole or in part, from the negligence of one or more of the Contest Entities.

14. LIMITATION OF LIABILITY: NO SPONSOR, PRIZE PROVIDER, OR ANY OTHER CONTEST ENTITY, SHALL BE LIABLE FOR ANY SPECIAL, INDIRECT, INCIDENTAL, OR CONSEQUENTIAL DAMAGES ARISING OUT OF THE CONTEST, ANY PRIZE, OR THESE OFFICIAL RULES, HOWSOEVER CAUSED, WHETHER ARISING IN TORT, CONTRACT, STRICT LIABILITY, OR OTHER LEGAL THEORY, AND REGARDLESS OF WHETHER SUCH CONTEST ENTITY WAS ADVISED OF THE POSSIBILITY OF SUCH DAMAGES, AND ALL SUCH DAMAGES ARE HEREBY DISCLAIMED AND EXCLUDED. ALL PRIZES ARE GIVEN AWAY "AS IS." THE CONTEST ENTITIES DO NOT MAKE AND HEREBY DISCLAIM ANY WARRANTIES, CONDITIONS, REPRESENTATIONS OR GUARANTEES, WHETHER EXPRESS, IMPLIED, OR STATUTORY (INCLUDING WITHOUT LIMITATION ANY IMPLIED OR STATUTORY

WARRANTY OF MERCHANTABILITY (OR SATISFACTORY QUALITY), FITNESS FOR A PARTICULAR PURPOSE, TITLE, OR NON-INFRINGEMENT) WITH REGARD TO THE CONTEST OR ANY PRIZE.

15. DISQUALIFICATION: Sponsor reserves the right in its sole discretion to disqualify any entrant or entrant's Team who Sponsor finds, in its sole discretion, to be tampering with the participation process or the operation of the Contest or the Contest Site, to be acting in violation of these Official Rules, to be acting in an unsportsmanlike or disruptive manner, or with the intent to disrupt or undermine the legitimate operation of the Contest, or to annoy, abuse, threaten or harass any other person, and Sponsor reserves the right to seek damages and other remedies from any such person to the fullest extent permitted by Law.

16. TERMINATION/MODIFICATION: Sponsor shall have the right to modify, suspend, or terminate the Contest, at its sole discretion, at any time for any reason and without prior individual notice, including without limitation as a result of natural disaster, equipment failure, civil disturbance, fraud, financial, or administrative difficulties, technical problems (such as a computer virus/bug), unauthorized intervention that corrupts the administration, security, fairness, integrity or proper functioning of the Contest, or other causes not within the control of the Sponsor. In addition, if, in the exclusive judgment of Sponsor, the Contest (or any portion thereof) becomes compromised in any way, or the Contest does not run as contemplated by the Sponsor, Sponsor reserves the right, at its sole discretion, to cancel any method of entry, to void any Entries submitted fraudulently, to modify or cancel the Contest, and/or to select Winners from among all non-suspect eligible Entries received prior to the act that compromised the contest. Should the Contest ever be terminated prior to the Winner selection, Sponsor will post a notice on the Contest Site and will make alternate arrangements to award the prizes. Sponsor reserves the right to make changes in these Official Rules in its sole discretion. Any such changes will become effective upon notice via online posting at the Contest Site or other means of wide dissemination.

17. INTERPRETATION: The invalidity or unenforceability of any provision of these Official Rules shall not affect the validity or enforceability of any other provision. In the event that any provision is determined to be invalid or otherwise unenforceable or illegal, these Official Rules shall otherwise remain in effect and shall be construed in accordance with their terms as if the invalid or illegal provision were not contained herein. Sponsor's failure to enforce any term of these Official Rules shall not constitute a waiver of that provision.

18. DISPUTES:

- A. All disputes concerning the Contest, the construction, validity, interpretation, and enforceability of these Official Rules, or the rights and obligations of each entrant and any Contest Entity in connection with these Official Rules or the Contest shall be governed by, and construed in accordance with, the Laws of the State of California and applicable United States federal Law, without giving effect to any choice of law or conflict of law rules that would cause the application of the Laws of any other jurisdiction.
- B. Except where prohibited, by participating in the Contest, each entrant agrees that any and all disputes the entrant may have with, or claims the entrant may have against, the Contest Entities, relating to, arising out of or connected in any way with (i) the Contest,

(ii) the awarding or redemption of any prize, and/or (iii) the determination of the scope or applicability of these Official Rules to arbitrate, will be resolved individually and exclusively by final and binding arbitration administered by JAMS (“**JAMS**”) and conducted pursuant to the then-current Streamlined Arbitration Rules & Procedures established by JAMS (“**JAMS Rules**”), except that the entrant may assert claims in small claims court if those claims qualify under applicable Law. If JAMS Rules conflict with any portion of these Official Rules, the Official Rules shall control. The entrant and applicable Contest Entity(ies) shall mutually agree on a neutral arbitrator, provided that if such parties cannot agree on an arbitrator within ten (10) days, then JAMS will choose the arbitrator. For more information on JAMS and/or the JAMS Rules, please visit their website at <https://www.jamsadr.com/rules-streamlined-arbitration/>. For entrants who are residents of the United Kingdom or Canada, the foregoing requirement to arbitrate disputes shall be non-exclusive.

- C. The Federal Rules of Evidence and Civil Procedure will govern the arbitration proceedings, including a right to discovery to the same extent set forth in the Federal Rules of Civil Procedure (as ultimately determined by the arbitrator). The arbitrator shall be empowered to award the prevailing party any remedy available at law or in equity not specifically precluded by these Official Rules, including without limitation injunctive or declaratory relief, specific performance, damages, and cancellation of these Official Rules. In the event that the entrant does not prevail, the arbitrator may not award the prevailing party its costs.
- D. Unless the entrant opts-out of arbitration as set forth below, the arbitrator, and not any federal, state or local court, will have exclusive authority to resolve any dispute relating to the scope, applicability, enforceability or formation of this arbitration provision, including any claim that all or any part of this arbitration provision is void or voidable. There is no judge or jury in arbitration, and court review of arbitration awards is limited. However, an arbitrator can award on an individual basis the same damages and other relief as a court (including injunctive and declaratory relief and statutory damages), and must follow these Official Rules, as a court would. The arbitrator’s award will consist of a written statement stating the disposition of each claim. The award will also provide a concise written statement of the essential findings and conclusions on which the award is based.
- E. The arbitrator’s decision shall be controlled by the applicable Law stated above and terms and conditions of these Official Rules and any of the other policies or agreements referenced herein that the applicable entrant may have entered into in connection with the Contest. To the extent permitted by Law, any such dispute or claim shall not be combined or consolidated with any dispute or claim of another person against Sponsor, and specifically, without limitation of the foregoing, shall not under any circumstances proceed as part of a class action. There shall be no authority for any claims to be arbitrated on a class or representative basis; arbitration can decide only individual claims and the arbitrator may not consolidate or join the claims of other persons or parties who may be similarly situated. If any part of this Section 18 (Disputes) is deemed to be invalid or otherwise unenforceable or illegal, the balance of this section shall remain in effect

and shall be construed in accordance with its terms as if the invalid or illegal provision were not contained herein. For entrants who are residents of the United Kingdom or Canada, the requirement to arbitrate disputes in this Section 18 shall be non-exclusive.

- F. The arbitration of any claims or disputes hereunder shall be conducted in San Francisco, California, except that the arbitration may be conducted in the county or parish of the entrant's primary residence. The entrant or any Contest Entity also may choose to have the arbitration conducted by telephone, based on written submissions, or in person at another mutually agreed location. Payment of all filing, administration, and arbitrator fees ("**Arbitration Fees**") will be governed by JAMS' rules, including the JAMS Consumer Arbitration Minimum Standards.
- G. BY PARTICIPATING IN THE CONTEST, THE ENTRANT SPECIFICALLY WAIVES THE RIGHT TO BRING A LAWSUIT IN COURT BASED ON ANY CLAIMS OR DISPUTES DESCRIBED IN SECTION 18(A) ABOVE, AND WAIVES THE RIGHT TO HAVE SUCH LAWSUIT RESOLVED BY A JUDGE OR JURY OR TO PARTICIPATE IN A CLASS ACTION. AS SUCH, THE ENTRANT HEREBY AGREES TO RESOLVE ANY AND ALL CLAIMS DESCRIBED IN SECTION 18(A) ABOVE VIA INDIVIDUAL BINDING ARBITRATION PER THE TERMS AND CONDITIONS SET FORTH IN SECTIONS 18(B) TO 18(F) ABOVE.
- H. The entrant may opt-out of Sections 18(A)–(G) by sending a notice ("**Rejection Notice**") to Sponsor no later than thirty (30) days after the entrant's submission of an Entry. The entrant must mail the Rejection Notice by certified mail return receipt requested or messenger service (e.g., Federal Express) to Sponsor at Attn: AI Alignment Award Contest, 2169 Grant Street; Berkeley, CA. In the event of any dispute concerning whether the entrant has provided a Rejection Notice within thirty (30) days, the entrant must provide a signed receipt confirming Sponsor received the Rejection Notice within thirty (30) days.
- I. To the extent that a dispute is not subject to arbitration under this Section 18, such dispute may be resolved through an action brought in the appropriate state or federal court located in San Francisco, California, USA; and the entrant and the Contest Entities irrevocably consent to the jurisdiction and venue of such courts for the adjudication of any non-arbitrable claims and waive any objection to such courts on any basis, including without limitation inconvenience of the forum.

19. SPONSOR: The Sponsor and administrator of the Contest is AI Alignment Awards, a project of the Players Philanthropy Fund. For questions about the Contest, please contact Attn: AI Alignment Award Contest, 2169 Grant Street; Berkeley, CA, or send an email to info@alignmentawards.com.

20. PRIZE PROVIDER: The prizes for the Contest as described in these Official Rules are made possible by the Open Philanthropy Project ("**Prize Provider**"). Prize Provider and other prize suppliers (other than Sponsors) are not sponsors of the Contest and shall have no obligation, responsibility or liability to any entrant in connection with the Contest.

21. NO ENDORSEMENT: Nothing contained in these Official Rules or in any of the Contest materials should be construed as an endorsement by Sponsor of any third party, product, or service.

22. SOCIAL MEDIA PLATFORMS: The Contest may be advertised on or accessed through one or more social media platforms, such as Reddit, Discord, Facebook or Twitter. However, the Contest is in no way sponsored, endorsed, or administered by, or associated with, any such platforms and such platforms shall have no liability related to the Contest. Entrants agree to comply with all terms and policies governing such platforms in connection with the Contest.

23. WINNERS LIST/OFFICIAL RULES: To obtain written notice of the Winners for the Contest, send a self-addressed stamped envelope (Vermont residents may omit the return postage) to Attn: AI Alignment Award Contest, 2169 Grant Street; Berkeley, CA., or send an email to info@alignmentawards.com. All such requests must refer to the Contest and be received no later than six (6) months after the end of the Contest Period. These Official Rules will be posted on the Contest Site during the Contest Period and for two (2) weeks after that.