

AI Alignment Awards Essay Contest Privacy Policy

This Privacy Policy (“**Policy**”) explains how AI Alignment Awards (“**we**,” “**our**,” or “**us**”) collects, stores, uses, shares, transfers, deletes, and processes information collected from or about you (“**Personal Data**”) when you participate in the AI Alignment Awards Essay Contest (the “**Contest**”) or visit the Contest’s website, alignmentawards.com (the “**Contest Site**”).

Our Privacy Principles. We seek to base our privacy practices on accepted principles of privacy and data protection. These principles include:

- *Transparent:* To be open about privacy practices, policies, developments, and the details of any processing that takes place, and to specify the purposes for which Personal Data is collected and processed before such collection takes place.
- *Fair in Processing:* To obtain Personal Data in good faith by legitimate, lawful, and fair means and to process Personal Data only in accordance with the purposes for which it was collected.
- *Engage in Privacy by Design:* To ensure we create solutions and process data with privacy at the forefront and in accordance with these principles.
- *Accountable:* To be accountable for complying with measures that give effect to these principles, including by ensuring accountability of third parties with whom we interact through appropriate contracts.
- *Responsive to Privacy Requests:* To be responsive to individual’s privacy rights afforded by law.
- *Protective:* To protect Personal Data using reasonable security safeguards, to ensure data accuracy, and to limit use to that which is necessary for the processing purpose.

The Purpose and Reach of this Privacy Policy.

This Policy describes the types of Personal Data that we may collect or process, how we may use and disclose that Personal Data, and how you may exercise any rights you have regarding our processing of your Personal Data. This Policy applies to Personal Data collected or processed by us when you participate in the Contest (“**Entrant**”); when you visit the Contest Site; or anywhere this Policy is posted or referenced. This Policy also applies to Personal Data that is collected or processed when you interact with us in person, by telephone, or by mail.

- We may have other unique privacy notices that apply to certain specific situations. To the extent you were provided with a different privacy notice or policy that applies, that notice or policy will govern our interactions with you, not this one..

- If you provide us with Personal Data of anyone other than yourself (such as a colleague or family member), please note that you are responsible for complying with all applicable privacy and data protection laws prior to providing that information to us (including obtaining consent, if required).
- Please review this Policy carefully. To the extent permitted by applicable law, by providing us your Personal Data or otherwise interacting with us, you are agreeing to this Policy.

Information Collected.

- “**Personal Data**” is any information—as electronically or otherwise recorded—that can be used to identify a person or that we can link directly to an individual, such as name, address, email address, telephone number, or credit card number, as applicable. Personal Data in some jurisdictions can include information that indirectly identifies a person even absent other identifying information.
- Personal Data may include information considered sensitive in some jurisdictions, such as biometric information, genetic information, medical and health information, financial account information, geolocation, ethnic or racial origin, information concerning your sex life or your sexual orientation, and other information.
- We will process any Personal Data we collect in accordance with applicable law and as described in this Policy (unless, as explained above, a separate policy or notice governs).
- Below is a summary of how we collect, process, and use Personal Data and the potential recipients of your Personal Data, and how we have done so in the preceding 12 months. Some jurisdictions require us to state the legal bases for processing your Personal Data, which are included below, but please note that not all jurisdictions may recognize all legal bases.

Entrants

We may process your Personal Data when you participate in the Contest as an Entrant.

<u>Examples of the types of data we process:</u>	<u>Where do we get the data?</u>	<u>Why do we process the data?</u>	<u>What are the legal bases for processing?</u>	<u>Who receives the data?</u>
<p><i>Identity and contact information, such as:</i></p> <ul style="list-style-type: none"> • first and last name • email address • postal address • phone number • username and password • social media links (e.g., LinkedIn) <p><i>Other personal information, such as:</i></p> <ul style="list-style-type: none"> • age • gender • marital status • disability • date of birth <p><i>Visual Information, such as:</i></p> <ul style="list-style-type: none"> • pictures and videos <p><i>Technical Information, such as:</i></p> <ul style="list-style-type: none"> • Internet Protocol (IP) addresses (which may identify your general geographic location or company) • browser type and browser language • device type • advertising IDs associated with your device (such as Apple’s Identifier for Advertising (IDFA) or Android’s Advertising ID (AAID)) • date and time you used the Contest Site • Uniform Resource Locators, or URLs (i.e., website addresses) visited prior to arriving and after leaving the Contest Site 	<ul style="list-style-type: none"> • you directly • your devices • third parties 	<ul style="list-style-type: none"> • to provide you with access to information about the Contest • to communicate with you • to administer our relationship with you • to send updates to you • to identify and authenticate you • to detect security incidents • to protect against malicious or illegal activity • for short-term, transient use • for administrative purposes • for quality assurance 	<ul style="list-style-type: none"> • for the purposes of our legitimate interests • to comply with a legal obligation • in preparation for or to perform a contract • in circumstances in which we have requested consent and received consent and for other purposes that may be required or allowed by law* 	<ul style="list-style-type: none"> • Our affiliates, subsidiaries, and related companies • partners that assist us in administering the Contest or help us improve our marketing or administration**

<ul style="list-style-type: none"> • activity on the Contest Site • data collected from cookies or similar technologies*** • geolocation information <p><i>Anonymized / De-identified Data</i> Anonymized data is data for which your individual personal characteristics have been removed such that you are not identified and the information is no longer considered Personal Data under data protection laws.</p>				
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Visitors to the Contest Site and Senders of Inquiries

We may process your Personal Data when you: (1) visit the Contest Site; and/or (2) submit inquiries to us both online (e.g., via email) or offline (e.g., by written letters).

<u>Examples of the types of data we process:</u>	<u>Where do we get the data?</u>	<u>Why do we process the data?</u>	<u>What are the legal bases for processing?:</u>	<u>Who receives the data?</u>
<p><i>Identity and contact information, such as:</i></p> <ul style="list-style-type: none"> • first and last name • email address • postal address • phone number • username and password <p><i>Other personal information, such as:</i></p> <ul style="list-style-type: none"> • age • gender • marital status • disability • date of birth 	<ul style="list-style-type: none"> • you directly • your devices • third parties 	<ul style="list-style-type: none"> • to provide you with the Contest Site • to communicate with you (about an inquiry) • to administer our relationship with you or your organization • to send you updates • to identify and authenticate you 	<ul style="list-style-type: none"> • for the purposes of our legitimate interests • in preparation for or to perform a contract with you • in circumstances in which we have requested and received consent and for other purposes that may be required or allowed by law* 	<ul style="list-style-type: none"> • Our affiliates, subsidiaries, and related companies • partners that assist us in providing the Contest Site or help us improve our marketing or administration**

<p><i>Visual and audio information, such as:</i></p> <ul style="list-style-type: none"> • still images • recordings of your calls with our representatives <p><i>Technical Information, such as:</i></p> <ul style="list-style-type: none"> • Internet Protocol (IP) addresses (which may identify your general geographic location or company) • browser type and browser language • device type • advertising IDs associated with your device (such as Apple’s Identifier for Advertising (IDFA) or Android’s Advertising ID (AAID)) • date and time you use the Contest Site • Uniform Resource Locators, or URLs (i.e., website addresses) visited prior to arriving and after leaving the Contest Site • activity on the Contest Site • data collected from cookies or similar technologies*** • geolocation information <p><i>Anonymized / De-identified Data</i> Anonymized data is data for which your individual personal characteristics have been removed such that you are not identified and the information is no longer considered Personal Data under data protection laws.</p>		<ul style="list-style-type: none"> • to customize content for you • to detect security incidents • to protect against malicious or illegal activity • to ensure the appropriate use of the Contest Site • to improve the Contest Site • for short-term, transient use • for administrative purposes • for marketing, internal research, and development • for quality assurance and to assist in training and development of our representatives 		
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*The legal bases we rely upon include those enumerated in Articles 6 and 9 of the European Union’s General Data Protection Regulation (**GDPR**), depending on the type of Personal Data.

**In limited circumstances, recipients may include, (1) in the event of a sale, assignment, or transfer, to the buyer, assignee, or transferee; and, (2) government or regulatory officials, law enforcement, courts, public authorities, or others when permitted by this Policy or required by law.

***Please see our Notice on Cookies for more information on how we use cookies and similar technologies.

Combination of Data. We may combine information we collect, whether Personal Data or not, with Personal Data that we may obtain from third parties.

Children's Data. We do not knowingly collect, maintain, disclose, or otherwise process Personal Data from minors below the age of 16 without the permission of such minor's parents or legal guardians.

Marketing Uses, Cookies, and Other Activities. To the extent permitted by applicable law, including in accordance with your consent when required by applicable law, we may engage in the following activities:

- We may use your contact details to contact you to determine whether you would like to initiate a relationship with us or have us send marketing emails to you. If you do not wish to receive such marketing emails, you may opt out by declining to receive such emails when registering or in our subsequent communications by following opt-out instructions included in the email.
- We may display advertisements to you regarding products and services that we believe are relevant to you based on your activities on the Contest Site or on other web or digital properties. Such advertisements may be shown on the Contest Site or the online services of others. We achieve this by using, and allowing third parties (e.g., Facebook, LinkedIn) to use certain cookies, eTags, pixels, web beacons, and other tracking technologies to track your activities on the Contest Site. For more information about these activities and how to manage or opt out of them, please see our Notice on Cookies.
- We also perform statistical analyses of the users of the Contest Site to improve the functionality, content, design, and navigation of the Contest Site.

Processing Using Website Tracking. We use Google Analytics to help us understand how users engage with the Contest Site. Google Analytics may track your activity on the Contest Site (i.e., the pages you have seen and the links you have clicked on) and helps us measure how you interact with the content that we provide. This information is used to compile reports and help us improve the Contest Site. The reports we receive disclose website trends without identifying individual visitors. You can learn about Google's practices by going to www.google.com/policies/privacy/partners/, and exercise the opt out provided by Google by downloading the Google Analytics opt-out browser add-on, available at <https://tools.google.com/dlpage/gaoptout> or as described in our Notice on Cookies.

Third-Party Service Providers. Third-party service providers acting on our behalf must execute agreements including confidentiality requirements and will only process Personal Data as necessary to perform their functions in a manner consistent with this Policy, other

applicable privacy notices, and as explicitly permitted or required by applicable laws, rules, and regulations. Our third-party service providers may be located in the United States and other jurisdictions.

Interactive Features of the Contest Site. To the extent we offer any public or group forums on the Contest Site, such as newsfeeds, blogs, message boards, or similar tools (“**Interactive Features**”), the posts or comments you make may be public and viewed by others. You should use care before posting information about yourself, including Personal Data. You acknowledge and understand that you have no expectation of privacy or confidentiality in the content you submit to Interactive Features on the Contest Site. Except when required to do so by applicable law, we assume no obligation to remove Personal Data you post using any Interactive Features and your disclosure of any Personal Data through the Interactive Features is at your own risk.

Links to Other Websites. The Contest Site may contain links to other web sites, applications, products, or services that we do not operate, such as social media websites and applications like Facebook and Twitter. You should carefully review the privacy policies and practices of other web sites, products, and services as we cannot control and are not responsible for privacy policies, notices, or practices of third-party web sites, applications, products, and services.

Safeguarding Information. Consistent with applicable laws and requirements, we have put into place physical, technical, and administrative safeguards to protect Personal Data from loss, misuse, alteration, theft, unauthorized access, and unauthorized disclosure consistent with legal obligations and industry practices. However, as is the case with all websites, applications, products, and services, we unfortunately are not able to guarantee security for data we collect. In addition, it is your responsibility to safeguard any passwords, ID numbers, or similar individual information associated with your status as an Entrant or your use of the Contest Site.

Your Rights Regarding Your Personal Data

Please note that in many circumstances, we cannot effectively do business with you without processing some Personal Data about you (e.g., your contact information). For example, if you wish to become an Entrant to the Contest, we may require you to provide the information indicated above. If you are unable to provide this information, we may be unable to include you as an Entrant.

You may have a right under your area’s data protection law to the following rights with respect to some or all of your Personal Data:

- To request access to your Personal Data (including under GDPR Article 15);
- To request that we rectify or erase your Personal Data (including under GDPR Articles 16 and 17);
- To request that we restrict or block the processing of your Personal Data (including under GDPR Articles 18, 21 and 22 and to object to the sale or sharing of your Personal Data under other relevant laws);
 - This includes the right to opt out of solicitations;
- To provide your Personal Data directly to another, i.e., a right to data portability (including under GDPR Article 20);
- When we previously obtained your consent, to withdraw consent to processing (including under GDPR Article 21); and

- To lodge a complaint with the data protection authority in your area.

You can make a request with respect to your Personal Data by emailing us at info@alignmentawards.com. We will make reasonable efforts to respond promptly to your requests in accordance with applicable laws. We may, after receiving your request, require additional information from you to honor the request and verify your identity. Please be aware that we may be unable to afford these rights to you under certain circumstances, such as if we are legally prevented from doing so.

Transfer of Personal Data Across National Borders. Please be aware that Personal Data we collect and process may be transferred and maintained outside of your state, province, country, or other jurisdiction where the privacy laws may not be as protective as those in your location, including the United States. We have put into place lawful transfer mechanisms and adequate safeguards in accordance with applicable legal requirements to protect your Personal Data.

How Long Your Personal Data Will Be Retained. We generally retain Personal Data for as long as needed for the specific purpose or purposes for which it was collected. In some cases, we may be required to retain Personal Data for a longer period of time by law or for other necessary business purposes. Whenever possible, we aim to anonymize the information or remove unnecessary identifiers from records that we may need to keep for periods beyond the specified retention period.

Changes to This Privacy Policy. We reserve the right to change this Policy from time to time. We will alert you when changes have been made by indicating the date this Policy was last updated as the date the Policy became effective or as otherwise may be required by law. It is recommended that you periodically revisit this Policy to learn of any changes.

Contact Us. If you have questions or comments about this Policy or about how your Personal Data is processed, please contact us at:

Email: info@alignmentawards.com

We will make reasonable efforts to respond promptly to your requests in accordance with applicable laws. We may, after receiving your request, require additional information from you to honor the request and verify your identity. Please be aware that we may be unable to afford these rights to you under certain circumstances, such as if we are legally prevented from doing so.